

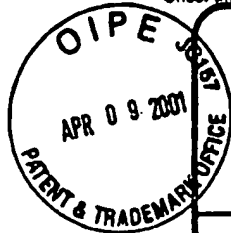
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Substitute for form 1449 APTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 10

### Complete if Known

Application Number	09/767,126
Filing Date	1/22/01
First Named Inventor	DAMAN
Group Art Unit	1651
Examiner Name	
Attorney Docket Number	VOYAGER 201

### U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>1</sup> (if known)			
[Signature]		5897620		WALKER ET AL	4/27/99	
		5270921		HORNICK	12/14/93	
		5890138		GODIN, ET AL	3/30/99	
		5835896		FISHER ET AL	11/10/98	
		5905975		AUSUBEL	5/18/99	
		5715402		POPOLO	2/3/98	
[Signature]		5999915		NAHAN, ET AL	12/7/99	
[Signature]		5774357		HOFFBERG ET AL	6/30/98	
		6044363		MORI, ET AL	3/28/00	
		5850442		MUFNC	12/15/98	
		6000826		PARUNAK, ET AL	12/14/99	
		5826244		HUBERMAN	10/20/98	
		5950177		LAPIEN ET AL	9/7/99	
		5818914		FUTISAKI	10/6/98	
		5802502		GELL ET AL	9/1/98	
		5794219		BROWN	8/11/98	
		5727165		ORDISH ET AL	3/10/98	

### FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>2</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
[Signature]			031425201		TOYOTA CHIVUKO	12/7/93	(ABSTRACT)	
			08233918		HITACHI	3/24/98	"	
			08218344		FUJITSU GENERAL	3/6/98	"	
			07301101		FUJITSU GENERAL	6/6/97	"	
			07301096		FUJITSU GENERAL	6/6/97	"	
			08336375		NIPPON STEEL	6/30/97	"	
[Signature]			08161412	N	OAK NET:KK	6/21/96	"	
			07150696		NISSHO IWA CORP	1/10/97	"	
			07143458		FUJITSU GENERAL	12/24/96	"	
[Signature]			092829321		KUJIRADA MASANOBU	3/30/99	"	

Examiner Signature: [Signature]

Date Considered: 06/23/05

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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1448 APTD

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	2	of	10
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**Complete If Known**

Application Number	09/767,126
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Filing Date	1/22/01
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First Named Inventor	DAM AN
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Group Art Unit	165
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**Examiner Name**

Attorney Docket Number VOYAGER 201

## U.S. PATENT DOCUMENTS

[illegible]

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	TI
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If Known)				
2			0048535		URATA KOICHI	8/6/99	(ABSTRACT)	
			07095659		FUJITSU GENERAL	11/5/96	"	
			07260511		FUJITSU GENERAL	4/15/97	"	
			06185624	/	FUJITSU GENERAL	2/20/96	"	
			06185625		FUJITSU GENERAL	2/20/96	"	
			06107215		FUJITSU GENERAL	12/8/95	"	
			06096557		FUJITSU GENERAL	11/14/95	"	
			05201617		NIPPON SHOKUBUTSU	3/17/95	"	
			05176900		FUJITSU KIDEN	2/7/95	"	
			05101664		FUJITSU GENERAL	11/8/94	"	

**Examiner  
Signature**

Date: \_\_\_\_\_

**Considered**

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 3 of 10

**Complete If Known**

Application Number	09/767/126
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Filing Date	1/22/01
-------------	---------

First Named Inventor	DAMAN
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Group Art Unit	1651
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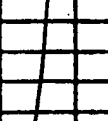
**Examiner Name**

Attorney Docket Number	VOYAGER 201
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## U.S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.¹	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-OD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	FIG. NO.
		Office³	Number¹ Kind Code² (If Known)				
			05101663	FUJITSU GENERAL	11/8/94	(ABSTRACT)	
			04240580	SHINKO SEISAKUSHO	4/8/94	"	
			04195046	SHINKO SEISAKUSHO	2/18/94	"	
			04156381	SHINKO SEISAKUSHO	12/27/93	"	
			04156380	SHINKO SEISAKUSHO	12/27/93	"	
			04155572	AKADA DENKI SHOTI	12/7/93	"	
			04109540	SHINKO SEISAKUSHO	11/16/93	"	
			04109539	SHINKO SEISAKUSHO	11/16/93	"	
			04068372	SHINKO SEISAKUSHO	11/12/93	"	
8			04095479	SHINKO SEISAKUSHO	11/5/93	"	

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Date \_\_\_\_\_

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Sheet	4	of	10
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**Complete if Known**

Application Number	09/767,126
Filing Date	1/22/01
First Named Inventor	DAMAN
Group Art Unit	1651
Examiner Name	
Attorney Docket Number	VOYAGER: 301

## U.S. PATENT DOCUMENTS

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 6 of 10

Application Number 09/767,126  
Filing Date 1/22/01  
First Named Inventor DAMAN  
Group Art Unit 1651  
Examiner Name  
Attorney Docket Number VOYAGER 201

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cito No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume/issue number(s), publisher, city and/or country where published.
JF		KLEMPERER, "Auctions with Almost Common Values", Oxford Univ.
		KLEMPERER, "Auction Theory and its Applications to Economics"
		"The Internet and Economics", <a href="http://www.ex.ac.uk/R.Davies/arian/internet.html">http://www.ex.ac.uk/R.Davies/arian/internet.html</a>
		KLEMPERER, "Auction Theory: A Guide to the Literature"
		"Peter Cramton's Home Page", <a href="http://www.cramton.umd.edu/auction-papers.htm">http://www.cramton.umd.edu/auction-papers.htm</a>
		BULOW, ETAL "Prices and the Winners Curse"
		CRAMTON, "Ascending Auctions"
		FITZGIBBON, "Investors shun Salon.com Dutch Auction", Redherring.com
		"Continuous Dutch Auction Bid Format", IAS-Dutch Auction Format - <a href="http://cattleofferings.com/auction/dutch/dutchformat_text.htm">http://cattleofferings.com/auction/dutch/dutchformat_text.htm</a>
		"About the Klik-Klok Dutch Auction", Klik-Klok Online Dutch Auction <a href="http://www.klik-klok.com/how.htm">http://www.klik-klok.com/how.htm</a>
JF		BANATRE, ETAL, "The Design and Building of Enchere...", Computing Practices Jan 29, 1986, No. 1, pp 19-29

Examiner Signature

Date Considered

06/23/05

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1 Unique citation designation number. 2 Applicant is to place a check mark here if English language Translation is attached.

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Sheet 7 of 10

## Complete if Known

Application Number 09/767,126

Filing Date 11/22/01

First Named Inventor DAMAN

Group Art Unit 1651

Examiner Name

Attorney Docket Number VOYAGER 201

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## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the document (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issn number(s), publisher, city and/or country where published.
<i>[Signature]</i>		"The Bobcad Dutch Auction"; <a href="http://www.vectorcam.com/dutchauc.htm">http://www.vectorcam.com/dutchauc.htm</a>
		"A Dutch Auction" - <a href="http://www.agonics.com/auctions/auction3.htm">http://www.agonics.com/auctions/auction3.htm</a>
		② tree overview - <a href="http://www.iew.unizh.ch/ztree/tipstricks.htm">http://www.iew.unizh.ch/ztree/tipstricks.htm</a>
		KUMAR ETAL, "IBM Inst for Adv. Commerce, Reports, Technical Papers" / <a href="http://www.ibm.com/iac/reports-technical/reports-bus-neg-internet.htm">http://www.ibm.com/iac/reports-technical/reports-bus-neg-internet.htm</a>
		"ACE Market Operations Guide", Shottz & Assoc, Jan 3, 1996 /
		MARIMBA Customer Profile /
		MARIMBA - On Sale /
		MARIMBA - Press Release - "Internet Auction House ONSALE..." /
		MARIMBA - Press Release - "Marimba's Castanet Selected..." /
		YOUNG WORTH, "Internet Auction House Offers Personalized Approach to E-Business", e-Business Mag, 9/1998
		HATLESTAD, "Plumbers' Helpers", Red Herring Magazine, Nov 98 /
		"JOINT REPORT on the Government Securities Market", Jan 1992 /
<i>[Signature]</i>		DIALOG(R) File 610: Business Wire 0489267 - BW 0022 /
		"ONSALE: brings thrill of Auctions..." May 24, 1995

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*[Signature]*

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# OTHER INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number 09/767,126

Filing Date 1/22/01

First Named Inventor DAMAN

Group Art Unit 1651

Examiner Name

Attorney Docket Number

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Sheet 8 of 10

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## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

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J		DIALOG(R) File 609: KR/T Bus. News - 2096205; 5519 "California "Computer" Auctions: No Boon for Bargain Hunters", Sept 13, 1993	
		DIALOG(R) File 16: PROMT(R) - 03482064 - "Car auction reaches into space", Automotive News, 11/25/91, p. 6	
		DIALOG(R) File 16: IAC PROMT(R) - 05649796 - "On sale joins fray as online shopping picks up speed", Computer Reseller News, 6/5/95, p. 73	
		DIALOG(R) File 148: IAC Trade and Industry Database, "Nowhere to go but up", PC Week, 10/23/95	
		"Dutch Auction Interest and Dividend Reset", <a href="http://risk.ifici.ch/00011235">http://risk.ifici.ch/00011235</a>	
		"Dutch Auction", <a href="http://risk.ifici.ch/00011234.htm">http://risk.ifici.ch/00011234.htm</a>	
		"Dutch Auction Rate Transferable Securities (DARTS)", <a href="http://risk.ifici.ch/00011236">http://risk.ifici.ch/00011236</a>	
V		"WestPoint Stevens Commences Dutch Auction Tender Offer for up to 3,000,000 Shares of Common Stock", <a href="http://biz.yahoo.com/bw/991029/ga-westpoi-1.html">http://biz.yahoo.com/bw/991029/ga-westpoi-1.html</a>	
J		"Continuous Dutch Auction Bid Format", <a href="http://cattieofferings.com/auction/dutch/dutchformat_text.html">http://cattieofferings.com/auction/dutch/dutchformat_text.html</a>	

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*[Signature]*

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APR 9 2001  
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Substitute for form 1449B/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 9 of 10

## Complete if Known

Application Number	09/767,126
Filing Date	12/21/01
First Named Inventor	DAMAN
Group Art Unit	1651
Examiner Name	
Attorney Docket Number	VOYAGER 201

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<i>[Signature]</i>		FITZGIBBON, "The fine bouquet of a Dutch auction", wysiwyg: <a href="http://www.redherring.com/insider/1999/0402/inv-dutchauction.htm">http://www.redherring.com/insider/1999/0402/inv-dutchauction.htm</a>
		"Dutch Auction": <a href="http://ai.wwed.com/auction/wwed/help/dutch-help.html">http://ai.wwed.com/auction/wwed/help/dutch-help.html</a>
		"Dutch Auctions": <a href="http://www.bidwerx.com/auctions-dutch.htm">http://www.bidwerx.com/auctions-dutch.htm</a>
		"Auction Types - Dutch": <a href="http://www.agorics.com/auctions/auction3.html">http://www.agorics.com/auctions/auction3.html</a>
		"Revenue Ruling Regarding the Dutch Auction Rate Issued": <a href="http://www.unclefed.com/Tax-News/1990/Nr90-48.html">http://www.unclefed.com/Tax-News/1990/Nr90-48.html</a>
		BROWNLEE: "Salon IPO Bombs on 1st Day of Trading" <a href="http://www.nypost.com/business/9661.htm">http://www.nypost.com/business/9661.htm</a>
		"Bidders/Buyers FAQ's": <a href="http://www.goldsanction.com/html/buyerFAQ.html">http://www.goldsanction.com/html/buyerFAQ.html</a>
		"Technical Papers - Business Negotiations on the Internet": <a href="http://www.ibm.com/iac/reports-technical/reports-bus-neg-internet.html">http://www.ibm.com/iac/reports-technical/reports-bus-neg-internet.html</a>
<i>[Signature]</i>		GONZALES, "Arrest of ships in the Netherlands Antilles and Aruba": <a href="http://www.na-law.com/law/article2.htm">http://www.na-law.com/law/article2.htm</a>

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06/23/01

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number 09/767,126  
Filing Date 11/22/01  
First Named Inventor DAMAN  
Group Art Unit 1651  
Examiner Name  
Attorney Docket Number VOYAGER 20

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## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		"Z-Tree: Tips and Tricks": <a href="http://www.iew.unizh.ch/ztree/tipstricks.htm">http://www.iew.unizh.ch/ztree/tipstricks.htm</a>
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		"Knowledge Market™ Information - Auction Types": <a href="http://www.intellifact.com/auction/types.htm">www.intellifact.com/auction/types.htm</a>
		KUMAR: "Business Negotiations on the Internet": <a href="http://www.isoc.org/inet98/proceedings/36/36-3">http://www.isoc.org/inet98/proceedings/36/36-3</a>
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		"Help for Buyers": <a href="http://www.gofish.com.au/members/ReviewingAListing.htm">http://www.gofish.com.au/members/ReviewingAListing.htm</a>
		"Auction Formats": <a href="http://pages.com.ebay.com/aw/help/help-faq-format.html">http://pages.com.ebay.com/aw/help/help-faq-format.html</a>
		CONNOLLY: "Going Once, Going Twice": <a href="http://www.zdnet.com/products/stories/reviews/0,4161,2306286,00.htm">http://www.zdnet.com/products/stories/reviews/0,4161,2306286,00.htm</a>
		JUNNARKAR: "Plans auction site for second-hand goods": <a href="http://news.cnet.com/news/0-1007-200-1506830.html">http://news.cnet.com/news/0-1007-200-1506830.html</a>

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